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EXAMINER

BAYARD, DJENANE M

ART UNIT	PAPER NUMBER
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2141

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	01/18/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

09/587,826

Applicant(s)

TULLER ET AL.

Examiner

Djenane M. Bayard

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– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 October 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-41, 60 and 70-91 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-41, 60 and 70-91 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This is in response to amendment filed on 9/10/06 in which claims 1-41, 60 and 70-90 are pending.

Response to Arguments

2. In response to argument that the nodes are financial transaction terminal (i.e. that the network is a banking or financial network), a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. The specific type of node or any financial transaction terminal does not change the functionality of retrieving and managing data. The specific type of node or network, and its intended use, does not patentably distinguish the claimed system. Official Notice, supported by Randle, is taken. the specific type of node or any financial transaction terminal does not change the functionality of retrieving and managing data. The specific type of node or network, and its intended use, does not patentably distinguish the claimed system. (See Randle col. 3, lines 46-67).

3. As per claims 1, 21, 79 and 91, Applicant argues that Arcserver Backup Software fails to teach remotely accessing a communications network by a network management server coupled via the network to one or more client terminals and to various destination nodes, including one or more self-service financial transaction terminal, or a network automated information retrieval system coupled to one or more communications networks having various nodes including one or more self-service financial transaction terminals, an interactive user module coupled with a

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network management system server connected to the communications network. However, Arcserver Backup software clearly teaches wherein “performing immediate scheduled or automated backups of remote Windows NT machines attached to a network”. Furthermore, Arcserver Backup Software teaches “The Arcserve Manager that enables you to control all operations of Arcserver from a single machine. Use the Arcserve Manager to submit your backup and restore jobs, manage your database, search reports, etc” (See pages 1-2 and 1-3).

Applicant argues that Arcserver Backup Software fails to teach remotely configuring a retrieval command associated with one or more of the destination nodes according to one or more parameters with which the network management server is pre-programmed, including parameters for retrieval destination node selection, retrieval file selection, retrieval status, retrieval prioritizing, and retrieval schedule, remotely transmitting the retrieval command by the network management server to the destination node, as or a network management system server which is pre-programmed for remotely configuring a retrieval command associated with one or more of the nodes according to parameters for retrieval node selection, retrieval file selection, retrieval status, retrieval prioritizing, and retrieval schedule, for transmitting the retrieval command to the node, and for receiving a response to the retrieval command from the node. However, Arcserver Backup teaches wherein Arcserve allows you great flexibility in specifying options, filters and scheduling information for your jobs (See page 4-4), allows you to select the source machines, directories, or files from the machine tree (See page 4-5) and allows to schedule a job (See page 6-11).

Applicant argues Arcserver Backup Software fails to teach remotely transmitting a response to the retrieval command from the destination node to the network management server

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which remotely stores the response, and allowing a user at one of the client terminals to monitor both the retrieval command and the response, number of client terminals coupled to the interactive user module for allowing user interaction with the network automated information retrieval system for remotely monitoring the retrieval command associated with the node by the user, the response from the node to the retrieval command by the user, and configuring a user request to the network node via the network management server. However, Arcserver clearly teaches monitoring the real-time status of a job while it is running (See page 9-23) and a report that provides a brief listing of all jobs that have been run by ARCserve (See page 10-25).

Applicant argues that Arcserver Backup Software fails to teach defining operational parameters for uploading files from the plurality of automated teller machines to the network management server according to any of a single selected day for, a number of days in, a day and time for, a selection of automated teller machines for, missed days in, automated teller machines that were unavailable during, and automated teller machines that reported an exception during, a retrieval period, and uploading and logging files according to the pre-defined operational parameters and priority rules for access by a user. However, Arcserver Backup software clearly teaches wherein “performing immediate scheduled or automated backups of remote Windows NT machines attached to a network”. Furthermore, Arcserver Backup Software teaches “The Arcserve Manager that enables you to control all operations of Arcserver from a single machine. Use the Arcserve Manager to submit your backup and restore jobs, manage your database, search reports, etc” (See pages 1-2 and 1-3).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-7, 9-12, 21-27, 29-32, 41, 60, 79-80 and 91 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve V6.5 for Windows NT User Guide in view of Official Notice.

1. As per claim 1 and 21, Arcserve v6.5 For Windows NT User Guide in view of platform-independent method for retrieving and managing data in at least one communications network having a plurality of destination nodes interconnected with communication lines, comprising: remotely accessing a communications network by a network management server coupled via the network to at least one client terminal and to a plurality of destination nodes (See page 1-2 , Remarks: Arcserve V6.5 for Windows NT User Guide teaches wherein immediate, scheduled or automated backups of local or remote machines attached to a network); remotely configuring a retrieval command associated with a at least one of the destination nodes by the network management server according to at least one of a plurality of parameters with which the network management server is pre-programmed consisting at least in part of retrieval destination node selection parameters, retrieval file selection parameters, retrieval status parameters, retrieval prioritizing parameters, and retrieval schedule parameters (See pages 4-4 – 4-6 and 9-13 – 9-18) and ; remotely transmitting said retrieval command by the network

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management server to said destination node (See page 4-4, lines 1-2); allowing a user at said at least one client terminal to remotely monitor said retrieval command associated with said destination node (See pages 2-2, 2-8, 9-23 – 9-24, Remark: The Arcserve Manager program can be installed remotely on another machine to control the various Arcserve functions); remotely transmitting a response to said retrieval command from said destination node to said network management server; allowing the user at said at least one client terminal to remotely monitor said response from said destination node to said retrieval command (See page 9-23 – 9-24); and remotely storing said response from said destination node to said retrieval command by the network management server (See page 10-15). However, Arcserve V6.5 for Windows NT User guide fails to teach wherein the destination nodes consists at least in part of at least one self-service financial transaction terminal. Official Notice, supported by Randle, is taken that a self-service financial terminal is a network node that can transmit and receive communication. The specific type of node or any financial transaction terminal does not change the functionality of retrieving and managing data. The specific type of node or network, and its intended use, does not patentably distinguish the claimed system.

It would have been obvious to one with ordinary skill in the art at the time the invention was made to recognize that any type of node might be utilized. See Cf. *In re Gulak*, 703 F.2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir 1983); *In re Lowry*, 32 F.3d 1579, 32 USPQ2d 1031 (Fed. Cir. 1994). See *In re Kuhle*, 526 F.2d 553, 555, 188 USPQ 7, 9 (CCPA 1975).

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2. As per claims 2 and 22, Arcserve v6.5 for Windows NT User guide teaches remotely prioritizing said retrieval command associated with said destination node by the network management server; and remotely prioritizing said response from said destination node to said retrieval command by the destination node (See page 9-22).
3. As per claims 3 and 23, Arcserve v6.5 for Windows NT User guide wherein said monitoring of said response further comprises a retrieval status (See page 9-24)
4. As per claims 4 and 24, Arcserve v6.5 for Windows NT User guide wherein said retrieval status parameters further comprises at least one of the following parameters: never attempted; successful; not available; date out of range; failed; and in progress (See page 9-13 and 9-14).
5. As per claims 5 and 25, Arcserve v6.5 for Windows NT User guide further comprising remotely executing an automated retrieval schedule by the network management server (See page 6-11, Remark: Arcserve v6.5 for Windows NT User guide teaches how to schedule a job to run at a later time)
6. As per claims 6 and 26, Arcserve v6.5 for Windows NT User guide wherein said retrieval schedule parameters further comprises at least one of the following parameters: an upload frequency; an upload schedule; and a destination directory (See pages, 6-11, 6-13 and 6-15).

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7. As per claims 7 and 27, Arcserve v6.5 for Windows NT User guide teaches remotely constructing a response log by the network management server; remotely administering said response log by the network management server; and remotely printing said response log by the network management server (See pages 6-25 and 9-10).

8. As per claims 9 and 29, Arcserve v6.5 For Windows NT User Guide wherein said configuration of said retrieval command further comprises node filtering (See page 6-2).

9. As per claims 10 and 30, Arcserve v6.5 For Windows NT User Guide teaches wherein said new retrieval destination node selection parameters further comprises at least one of the following parameters: one or more of said destination nodes designated by a user; one or more of said destination nodes affiliated with a particular business; and one or more of said destination nodes affiliated with a particular business branch (See page 6-18).

10. As per claims 11 and 31, Arcserve v6.5 For Windows NT User Guide wherein said retrieval destination node selection parameters further comprises; at least one of the following parameters: at least one selected day; at least one selected hour; at least one selected said destination node; at least one missed day; at least one missed hour; at least one disconnected destination node; at least one down destination node; and at least one exception-reported destination node (See page 7-32 – 7-42).

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11. As per claims 12 and 32, Arcserve v6.5 For Windows NT User Guide teaches wherein said file selection parameters further comprises at least one of the following parameters: file type; file type name-, and archive directory (See page 5-9).

12. As per claims 41 and 60, Arcserve v6.5 for Windows NT User Guide teaches allowing the user at the at least one client terminal to remotely configure a user request to the network node via the network node via the network management server (See page 2-2)

13. As per claim 79, Arcserve v6.5 For Windows NT User Guide teaches a platform-independent system for retrieving and managing data in at least one communications network having a plurality of destination nodes interconnected with communication lines, comprising: a network automated information retrieval system coupled to at least one communications network having a plurality of nodes (See page 1-2) an interactive user module coupled with a network management system server connected to said communications network having a plurality of nodes (See page 1-3); wherein the network management system server is pre-programmed for remotely configuring a retrieval command associated with a at least one of the nodes according to at least one of a plurality of parameters consisting at least in part of retrieval node selection parameters retrieval file selection parameters retrieval status parameters, retrieval prioritizing parameters, and retrieval schedule, parameters for transmitting said retrieval command to said node and for receiving a response to said retrieval command from said node(See pages 4-4 – 4-6 and 9-13 – 9-18); and a plurality of client terminals coupled to said interactive user module for allowing user interaction with said network automated information

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retrieval systems said interaction consisting of at least one of remotely monitoring said retrieval command associated with said node by the user, remotely monitoring said response from said node to said retrieval command by the user, and remotely configuring a user request to the network node via the network management server (See page 1-3). However, Arcserve V6.5 for Windows NT User guide fails to teach wherein the destination nodes consists at least in part of at least one self-service financial transaction terminal. Official Notice, supported by Randle, is taken that a self-service financial terminal is a network node that can transmit and receive communication. The specific type of node or any financial transaction terminal does not change the functionality of retrieving and managing data. The specific type of node or network, and its intended use, does not patentably distinguish the claimed system.

It would have been obvious to one with ordinary skill in the art at the time the invention was made to recognize that any type of node might be utilized. See Cf. *In re Gulak*, 703 F.2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir 1983); *In re Lowry*, 32 F.3d 1579, 32 USPQ2d 1031 (Fed. Cir. 1994). See *In re Kuhle*, 526 F.2d 553, 555, 188 USPQ 7, 9 (CCPA 1975).

14. As per claim 80, Arcserve V6.5 for Windows NT User guide teaches wherein said interactive user module is communicated by a service application of said automated information retrieval system to said network management system server (See page 1-3).

15. As per claim 82, Arcserve V6.5 for Windows NT User guide teaches wherein said communications network further comprises memory (See page 2-5).

16. As per claim 83, Arcserve V6.5 for Windows NT User Guide teaches wherein said communications network further comprises at least one database stored in memory (See page 1-5).

17. As per claim 84, Arcserve V6.5 for Windows NT User guide teaches, wherein said communications network further comprises at least one database processor capable of processing data contained in said database (See page 2-5).

18. As per claim 85, Arcserve V6.5 for Windows NT User guide teaches further comprising a request to said automated information retrieval system (See page 1-3).

19. As per claim 86, Arcserve V6.5 for Windows NT User guide teaches wherein said request is communicated to said automated information retrieval system by said user interaction with said interactive user module (See page 1-3).

20. As per claim 87, Arcserve V6.5 for Windows NT User guide teaches wherein said interactive user module comprises at least one of the following user modules selected from a group of user modules comprising: an administrator module; an operator module; a help module; and a status module (See page 1-3).

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21. As per claim 88, Arcserve V6.5 for Windows NT User guide teaches wherein said request further comprises a retrieval command to query at least one destination node in real-time (See page 9-23).

22. As per claim 89, Arcserve V6.5 for Windows NT User guide teaches means for said plurality of network nodes to transmit a response to said request means for processing said response from said plurality of network nodes to said request; and means for storing said response from said plurality of network nodes to said request. (See page 1-3, 1-5 and 10-15).

23. As per claim 90, Arcserve V6.5 for Windows NT User guide teaches means for constructing a response log, wherein said response log comprises a plurality of responses from said plurality of network nodes to said request (See page 10-19); means for administering said response log (See page 10-21); and means for printing said response log (See page 9-10)

24. As per claim 91, Arcserve v6.5 For Windows NT User Guide teaches a computer-implemented method for retrieving and managing data from a plurality of automated teller machines over a network, comprising: pre-defining operational parameters for uploading files from the plurality of automated teller machines to a network management server according to any of a single selected day for a retrieval period, a number of days in a retrieval period, a day and time for a retrieval period, a selection of automated teller machines for a retrieval period, missed days in a retrieval period, automated teller machines that were unavailable during a retrieval period, and automated teller machines that reported an exception during a retrieval

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period (See pages 7-32 – 7-35); identifying files on at least one of the automated teller machines by the network management server which has not been previously uploaded during a file retrieval period (See page 7-24); prioritizing the identified files by the network management server according to pre-defined priority rules for uploading files from the automated teller machines to the network management server; uploading the identified files from the at least one of the automated teller machines over the network by the network management server according to the pre-defined operational parameters and priority rules, logging the uploaded files by the network management server (See page 9-22) ; and providing access to the logs on the network management server to at least one user at a client terminal via a graphical user interface (See pages 9-23 – 9-25). However, Arcserve V6.5 for Windows NT User guide fails to teach wherein the destination nodes consists at least in part of at least one self-service financial transaction terminal. Official Notice, supported by Randle, is taken that a self-service financial terminal is a network node that can transmit and receive communication. The specific type of node or any financial transaction terminal does not change the functionality of retrieving and managing data. The specific type of node or network, and its intended use, does not patentably distinguish the claimed system.

It would have been obvious to one with ordinary skill in the art at the time the invention was made to recognize that any type of node might be utilized. See Cf. *In re Gulak*, 703 F.2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir 1983); *In re Lowry*, 32 F.3d 1579, 32 USPQ2d 1031 (Fed. Cir. 1994). See *In re Kuhlé*, 526 F.2d 553, 555, 188 USPQ 7, 9 (CCPA 1975).

).

6. Claims 8 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve V6.5 for Windows NT User Guide as applied to claim 1 above, and further in view of U.S. Patent U.S. Patent No. 6,513,060 to Nixon et al.

1. As per claims 8 and 28, Arcserve v6.5 for Windows NT user Guide teaches the claimed invention as described above. However, Arcserve v6.5 for Windows NT user Guide fails to teach wherein said plurality of parameters with which the network management server is pre-programmed further comprises at least one of the following parameters: minimum time to retry if retrieval failure; and maximum number of simultaneous retrievals.

Nixon et al teaches a system and method for monitoring informational resources. Furthermore, Nixon et al teaches wherein said plurality of parameters with which the network management server is pre-programmed further comprises at least one of the following parameters: minimum time to retry if retrieval failure; and maximum number of simultaneous retrievals (See col. 20, lines 34-37 and col. 23, lines 45-46).

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein said plurality of parameters with which the network management server is pre-programmed further comprises at least one of the following parameters: minimum time to retry if retrieval failure; and maximum number of simultaneous retrievals as taught by Nixon et al in the claimed invention of Arcserve v6.5 for Windows NT user Guide in order to monitor the informational resources to determine if they are accessible and to evaluate their performance (See abstract, lines 6-8).

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7. Claims 13 and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve v6.5 For Windows NT User Guide as applied to claim 1 above, in view of U.S. Patent No. 6,343,326 to Acharya et al.

1. As per claims 13 and 33, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein said destination node further comprises a plurality of delivery system nodes.

Acharya et al teaches a system and method of transferring Internet protocol packets using fast ATM cell transport. Furthermore, Acharya et al teaches wherein said destination node further comprises a plurality of delivery system nodes (See col. 3, lines 23-25).

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein said destination node further comprises a plurality of delivery system nodes as taught by Acharya et al in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to simultaneously deliver packets in a multicast operation (See col. 3, lines 23-25).

8. Claims 14 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve v6.5 For Windows NT User Guide as applied to claim 1 above, in view of U.S. Patent No. 5,790,541 to Patrick et al.

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1. As per claims 14 and 34, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein said destination node further comprises a plurality of secondary system nodes.

Patrick et al teaches an apparatus, method, system and system method for distributed routing in a multipoint communication system. Furthermore, Patrick et al teaches wherein said destination node further comprise a plurality of secondary system nodes (See col. 9, lines 63-66)

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein said destination node further comprise a plurality of secondary system nodes as taught by Patrick et al in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to implement a centralized topology. (See col. 9, lines 63-66)

9. Claims 15-19 and 35-39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve V6.5 For Windows NT User Guide as applied to claim 1 above, in view of U.S Patent No. 6,226,623 to Schein et al.

1. As per claims 15 and 35, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide in fails to teach wherein said destination node is an automated teller machine.

Schein et al teaches a global financial services integration system and process. Furthermore, Schein et al teaches wherein said destination node is an automated teller machine (See col. 7, lines 20-21)

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It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein said destination node is an automated teller machine as taught by Schein et al in the claimed invention of Arcserve v6.5 for Windows NT User Guide in order to obtain a complete picture of a customer's relationship with the financial institution (See col. 7, lines 25-29).

2. As per claims 16 and 36, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein said destination node is a bank server.

Schein et al teaches a global financial services integration system and process. Furthermore, Schein et al teaches wherein destination node is a bank server (See col. 8, lines 55-60)

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein destination node is a bank server as taught by Schein et al in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to obtain a complete picture of a customer's relationship with the financial institution (See col. 7, lines 25-29).

3. As per claims 17 and 37, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein said destination node is a communication server.

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Schein et al teaches a global financial services integration system and process.

Furthermore, Schein et al teaches wherein said destination node is a communication server (See col. 8, lines 55-59)

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein destination node is a communication server as taught by Schein et al in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to obtain a complete picture of a customer's relationship with the financial institution (See col. 7, lines 25-29).

4. As per claims 18 and 38, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein said destination node is a financial server.

Schein et al teaches a global financial services integration system and process.

Furthermore, Schein et al teaches wherein said destination node is a financial server (See col. 8, lines 55-59)

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein destination node is a financial server as taught by Schein et al in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to obtain a complete picture of a customer's relationship with the financial institution (See col. 7, lines 25-29).

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5. As per claims 19 and 39, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein said communications network is a financial institution's communications network.

Schein et al teaches a global financial services integration system and process. Furthermore, Schein et al teaches wherein said communications network is a financial institution's communications network (See abstract, lines 1-6)

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein communications network is a financial institution's communications network as taught by Schein et al in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to integrate customer information and make the information accessible from remote locations (See abstract, lines 3-6).

10. Claims 20 and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve v6.5 For Windows NT User Guide as applied to claim 1 above, in view of U.S. Patent No. 6,236,989 to Mandyam et al.

a. As per claims 20 and 40, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach remotely providing help mechanism to a user.

Mandyam et al teaches a network-based help architecture. Furthermore, Mandyam et al teaches remotely providing a help mechanism to a user (See col. 3, lines 60-65).

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It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate remotely providing a help mechanism to a user as taught by Mandyam et al in the claimed invention of Arcserve v6.5 for Windows NT User Guide in order for the user to have access to required help information (See col. 4, lines 2-3).

11. Claim 81 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arcserve v6.5 For Windows NT User Guide as applied to claim 79 above, and further in view of U.S. Patent No. 6,609,146 to Slotznick.

1. As per claim 81, Arcserve v6.5 For Windows NT User Guide teaches the claimed invention as described above. However, Arcserve v6.5 For Windows NT User Guide fails to teach wherein interactive user module is communicated by said service application of said automated information retrieval system to one of an internet, an intranet, or an extranet.

Slotznick teaches wherein interactive user module is communicated by said service application of said automated information retrieval system to one of a internet, an intranet, or an extranet (See column 12, lines 52-54).

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein interactive user module is communicated by said service application of said automated information retrieval system to one of a internet, an intranet, or an extranet as taught by Slotznick in the claimed invention of Arcserve v6.5 For Windows NT User Guide in order to create a client/server architecture (See col. 12, line 55-57).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Djenane M. Bayard whose telephone number is (571) 272-3878. The examiner can normally be reached on Monday- Friday 5:30 AM- 3:00 PM..


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on (571) 272-3880. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Djenane Bayard

Patent Examiner



RUPAL DHARIA
SUPERVISORY PATENT EXAMINER